

Z. Smith Reynolds

FOUNDATION

147 South Cherry Street, Suite 200 ♦ Winston-Salem, North Carolina 27101-5287

[ORGANIZATION NAME]

Strategic Grant - General Operating Support

Request Amount:

I. GENERAL INFORMATION:

A. Contact Information

Name of Organization:
(Exactly As It Appears On Your Federal Tax-Exemption Certification Under Section 501(C)(3) Of The IRS Code)

AKA:

Mailing Address:

County:

Website:

Telephone:

Fax:

Chief Executive Officer:

Title:

CEO Office Phone:

Chief Executive Officer's email:

Date of Incorporation:

State of Incorporation:

Federal ID#:

Fiscal Year:

Contact person to whom all communication regarding this application should be directed:

Name:

Title:

Mailing address (if different from above):

Contact Telephone:

Contact Fax:

Primary Contact Cell:

Contact E-Mail:

Does your organization have Skype* videoconferencing capacity? (*Skype, a free videoconferencing tool, requires a high speed internet connection and a web camera; full system requirements are available at: <http://www.skype.com/download/skype>.)

Is your organization's primary location out of state? If so, select yes below. If not, please skip the next question.

Does your organization have an office in NC? If no, skip to Application Information section below. If yes, please provide the physical street address, city and zip code of your organization's primary NC office below.

B. Application Information

List your organization status:

Is your organization a supporting organization?

If so, what type?

Which of the following best describes the focus of your proposal?

Is your organization a Governmental entity?

Please briefly describe the work of your organization (250 word limit):

Duration of grant request (in whole months):

Period for which funds are being requested (grants cannot be used to cover expenses incurred prior to the grant award date):

Total amount being requested:

Year One:

Year Two (if any):

Year Three (With rare exception, the Foundation does not make three year grants.):

Region of state in which your organization is located:

Has your organization previously received a grant(s) from this foundation?

If yes, have all the reporting requirements been met for the grant(s)? If not, please download a reporting form from our web site (www.zsr.org) and include it as an uploaded attachment. NOTE: All reporting requirements from past grants must be met in order for a new application to be considered.

C. Governing Board and Staff

Number of staff members:

(Part-Time: _____; Full-Time _____)

Please indicate the **number**:

Male:

Female:

White/Caucasian:

Non-White/Non-Caucasian:

Total number of members on Governing Board:

Please indicate the **number**:

Male:

Female:

White/Caucasian:

Non-White/Non-Caucasian:

Please indicate the **percentage**:

Males on Board:

Females on Board:

White/Caucasian on Board:

Non-White/Non-Caucasian on Board:

Please list the county or counties in which your organization will work (99 word limit):

What is the demographic composition of the geographic area in which the work for which you seek funds will be performed? (Note: As a source, ZSR recommends U.S. Census Quickfacts at <http://quickfacts.census.gov/qfd/states/37000.html>)

Please enter the **percentage** as a numerical value (between 0 to 100) of each race or ethnic group listed below so that the total equals 100:

White/Caucasian:

African-American:

Hispanic Latino:

Native American:

Other:

Total Non-White/Non-Caucasian (from above):

Board List – See attachment number 2 at the end of the application.

D. EQUITY AND INCLUSIVENESS

The Foundation actively seeks to promote access, equity, and inclusiveness and to discourage discrimination based on race, ethnicity, gender, age, sexual orientation, socio-economic status, and other factors that deny the essential humanity of all people. Please list some specific examples of how you have demonstrated this value in the past three years. (You may use up to 150 words)

If the racial and/or gender make up of your organization's Board is not representative of the demographics in the area served, please explain if and how the organization plans to address this circumstance. (You may use up to 150 words)

II. ORGANIZATIONAL INFORMATION:

Mission: Please state your organization's mission. (You may use up to 80 words)

Mission: Please describe the core programs that support your mission. (You may use up to 180 words)

Prior Achievements: Please list your organization's top three results achieved in the past three years and explain how they have helped to advance your mission. (You may use up to 225 words)

III. GOALS, RESULTS AND INDICATORS OF SUCCESS:

Problem Statement: What community or public need(s) does your organization address? Please include relevant data showing the scale of the problem you seek to address. What aspect of the need(s) will your organization focus on during this grant period? (You may use up to 150 words)

Long-Term Results: Describe up to four long-term results that your organization seeks to achieve? How long will it take you to achieve these results? (You may use up to 240 words, or 60 words per result)

Short-Term Results: For each long-term result listed above, please describe the short-term result(s) that your organization will achieve during the grant period. (You may use up to 240 words, or 60 words per result)

Methods and Strategies: What methods or strategies will your organization employ to achieve, or make progress towards achieving, the long-term results listed above? (You may use up to 180 words)

Indicators of Success: As a tool with which to assess whether you are making progress, please list the indicators that you plan to track for each of your short-term results during the grant period. (You may use up to 150 words)

Collaboration: For each short-term result, name any partners with whom you will collaborate and describe their contribution. (You may use up to 100 words)

Barriers to Success: Assuming you receive the necessary financial resources, what are the external obstacles that might prevent you from achieving your anticipated results and what are your plans to address them? (You may use up to 180 words)

IV. ORGANIZATIONAL DEVELOPMENT AND CONTEXT

Organization Context and Role: Please name other organizations in North Carolina that work in your field. (You may use up to 100 words)

Organization Context and Role: What is the role your organization plays relative to the roles played by other organizations working within your field? (You may use up to 100 words)

Challenges: Please list the significant internal challenges facing your organization, staff and/or board and what your plan is to address them. Will a ZSR grant be used to build capacity to meet this challenge?

Priorities: How will the priorities of the organization change if a grant awarded is for an amount less than requested? (You may use up to 180 words)

V. ADDITIONAL INFORMATION:

Additional Information: Is there anything else you would like the Foundation to know about your organization or program(s)? (You may use up to 300 words)

VI. FINANCIAL INFORMATION

A. What method of accounting does your organization use?

List The Total Actual Operating Income And Expenses Of Your Organization For The Last Three Completed Fiscal Years As Shown On IRS Form 990. If The 990 Is Not Yet Available For The Most Recently Completed Fiscal Year, List The Un-Audited Final Income And Expenditures And Indicate That Numbers Are Un-Audited. Governmental Units Do Not Need To Supply This Information.

| Year of Filing | Year: | Year: | Year: |
|--|-------|-------|-------|
| Income | | | |
| Expenses | | | |
| Difference In Income And Expenses | | | |

Did your organization end any of the past three fiscal years with a deficit or a surplus in excess of 10 percent of that year's income or \$50,000 (whichever is lower)?

If your organization did end any of the past three fiscal years with a deficit, please explain. (You may use up to 150 words)

1. Please list your five largest sources of income in the past two years. Total these two years for each source. Include any government contracts as well as grants and contributions.

| Source (Name: Person, Foundation, Agency) | Amount | Purpose |
|---|--------|---------|
| | | |
| | | |
| | | |
| | | |
| | | |

2. Does the organization currently have an operating reserve?

If so, what is its amount?

How many months of operating support does that amount represent?

3. Does the organization have an endowment or other sources of funds not included in your annual budget?

If so, what is the current balance of those funds?

Please note any restrictions that apply to these funds? (You may use up to 60 words)

B. Program Financial Information

Potential Funding:

What funds from other sources (whether other foundations, other donors or internal sources) have been received or are under consideration for the organization? Please use the chart below. (Attach an additional sheet as needed.)

| Amount | Source | CHECK ONE: Committed Highly Likely Somewhat Likely | Date Decision is Expected |
|--------|--------|---|------------------------------|
| | | | |
| | | | |
| | | | |
| | | | |

C. Sustainability

1. Upon completion of this grant, if awarded, do you intend to return to Z. Smith Reynolds Foundation for continued funding?

If yes, for approximately how many years?

2. How do you plan to sustain your organization with or without Z. Smith Reynolds Foundation funds? In addition to describing strategies for attracting new contributions, include in your answer any future funders, alternative sources of earned income and any ways you might reduce costs. (You may use up to 150 words)

ATTACHMENTS

Organization Financial Information

Please submit the following attachments detailed below using the uploading instructions that follow:

1) Financial information:

Attach the following documents. Save each item as a separate document (of one page in length, if possible).

- a) Prior fiscal year's Income Statement (also known as "Statement of Activities").
- b) Balance Sheet (also known as "Statement of Financial Position") as of the last day of the prior fiscal year.
- c) Most recent audited financial statement, if one has been prepared. (This item may be submitted by mail up to 5 days after the application deadline.) If your organization has received any Management Letters or audit exceptions prepared by the auditor, please upload a copy of the opinion letter(s) as well as the responses.
- d) North Carolina budget for prior fiscal year showing a comparison of budget-to-actual income and expenses.
- e) Approved North Carolina budget for current fiscal year, including year-to-date actuals for revenue and expenses. (If the organization is less than three months into its fiscal year at the application deadline, year-to-date actuals may be omitted.)
- f) Projected North Carolina budget, including revenue and expenses, for the coming fiscal year. For multi-year requests, please include projected budgets for each year in which funds are requested.
- g) IF PROJECT SUPPORT REQUEST: A project budget for the duration of the requested grant showing projected income and expenses (with expected use of ZSR funds for each line item shown in a separate column).

Note: If you are applying on behalf of a college/university or governmental entity, please provide information relevant to your department, program, or appropriate governmental sub-unit in whatever form it now exists.

2) Board List:

Please provide name, city, state and occupation of each of your board members. Also provide a brief explanation of how members are selected.

3) Tax-exempt Status:

The Foundation must receive a copy of the petitioning organization's federal tax-exempt certification under Section 501(c)(3) of the Internal Revenue Code that includes a determination as to the organization's status as a publicly-supported organization. Governmental units need not submit these documents. Please be aware that the Foundation will not make pass-through grants from one organization to another.

4) Prior Grantee Reporting Document(s):

If you received a past grant from ZSR, please be sure that you have completed the proper reporting requirements (see items a. and b. below). You can find details about reporting requirements at <http://www.zsr.org/reporting.htm>. Please note that a new application will be accepted but may be withdrawn from consideration unless all past evaluation requirements are satisfied. Upon completion of the appropriate reporting forms, upload these documents with this application. NOTE: Please do not upload any final or progress reports that have been already been sent to the foundation. The different types of reporting forms are outlined below.

a) Final Report Form -- All prior grantees are required to complete and submit this comprehensive evaluation no later than 15 months from the date of payment on a one year grant or from date of last payment on a multi-year grant.

b) Progress Report -- If your organization is a current grantee and plans to apply for additional funds, the Foundation must receive an evaluation report on any prior grant for which you have not yet submitted a final report. This document should describe the ongoing, Foundation-supported initiative(s) for which you sought funding. In addition, a progress report is due 12 months from the date of the first year payment on a multi-year grant.

Instructions to upload attachments with the grant application:

To do so, complete the following steps:

- 1) With your mouse, click on the drop-down menu found below, and then highlight and click on the appropriate "Title" that correctly describes the single document you plan to attach.
- 2) Click the "Browse" button with your mouse.
- 3) Find and select the "File name" of the document saved on your computer that you wish to attach by double-clicking on the intended selection. NOTE: Please save your documents with your organization name and title such as "Operating Budget."
- 4) Click the "Upload" button with your mouse.
- 5) Repeat steps 1-4 as needed to ensure that all necessary forms are correctly labeled and successfully attached.
- 6) Upon completion of your online application and after attaching supplemental documents, electronically send your proposal to the Foundation by clicking on the "Submit" button with your mouse one-time only.

Note: PLEASE DO NOT UPLOAD ANY FINAL OR PROGRESS REPORTS THAT HAVE BEEN RECENTLY SENT TO THE FOUNDATION. Make sure your documents print correctly on each page. In addition, if you are unable to attach any required documents to your online application, these additional components must be e-mailed to documents@zsr.org or mailed to the Foundation and postmarked by the application deadline. DO NOT FAX ANY ATTACHMENTS UNLESS PERMISSION IS GRANTED BY FOUNDATION STAFF. If you have questions, please contact a Foundation representative.

Upload

The maximum size for all attachments combined is 24 MB. Please note that files with certain extensions (such as ".exe", ".com", ".vbs", or ".bat") cannot be uploaded.

Example below: (Choose the appropriate Title and browse out to find your file on your pc)

Title: 

File
Name: